

# Conformational Changes of p97 during Nucleotide Hydrolysis Determined by Small-Angle X-Ray Scattering

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## Summary

Valosin-containing protein (VCP)/p97 is an AAA family ATPase that has been implicated in the removal of misfolded proteins from the endoplasmic reticulum and in membrane fusion. p97 forms a homohexamer whose protomers consist of an N-terminal (N) domain responsible for binding to effector proteins, followed by two AAA ATPase domains, D<sub>1</sub> and D<sub>2</sub>. Small-angle X-ray scattering (SAXS) measurements of p97 in the presence of AMP-PNP (ATP state), ADP-AIF<sub>x</sub> (hydrolysis transition state), ADP, or no nucleotide reveal major changes in the positions of the N domains with respect to the hexameric ring during the ATP hydrolysis cycle. Nucleotide binding and hydrolysis experiments indicate that D<sub>2</sub> inhibits nucleotide exchange by D<sub>1</sub>. The data suggest that the conversion of the chemical energy of ATP hydrolysis into mechanical work on substrates involves transmission of conformational changes generated by D<sub>2</sub> through D<sub>1</sub> to move N.

## Introduction

Valosin-containing protein (VCP)/p97 is a member of the AAA (ATPases associated with various cellular activities) family of proteins (Neuwald et al., 1999; Confalonieri and Duguet, 1995). The hallmark of this family is the presence of one or two copies of a 230–250 residue AAA domain composed of an  $\alpha/\beta$  subdomain and a helical subdomain. These ATPases typically form oligomeric complexes, and they have been implicated in diverse processes such as organelle biogenesis, assembly of mitochondrial membrane proteins, protein degradation, cell cycle control, and signal transduction (Confalonieri and Duguet, 1995; Patel and Latterich, 1998; Beyer, 1997; Vale, 2000). The mechanisms by which the conversion of chemical energy of ATP hydrolysis into mechanical work is accomplished are poorly understood.

p97 appears to have several roles in the cell, of which the best understood is in ubiquitin/proteasome-mediated protein degradation, in particular endoplasmic reticulum-associated degradation (ERAD) (Jarosch et al.,

2003; Wang et al., 2004). In ERAD, misfolded proteins are retro-translocated out of the ER membrane into the cytosol, where they are polyubiquitinated for degradation by the proteasome. p97 has been shown to bind to the ER membrane through interaction with VIMP (Ye et al., 2004). It recognizes and binds substrates both directly and when the substrate is ubiquitinated, through interactions with the Ufd1-Npl4 complex (Meyer et al., 2002), and subsequently extracts the substrate from the membrane. The substrate is then degraded by the 26S proteasome, to which p97 binds (Dai et al., 1998). p97 is also thought to have a role in the reconstitution of the ER and Golgi apparatus following cell division (Kondo et al., 1997; Rabouille et al., 1998). It is possible that p97 facilitates homotypic membrane fusion by virtue of its ability to bind to p47, which in turn binds to the SNARE protein syntaxin 5. The recently identified protein VCIP135 (valosin-containing protein [VCP] p97/p47 complex-interacting protein, p135) can bind to the syntaxin5/p47/p97 complex and promote disassembly through p97-catalyzed ATP hydrolysis (Uchiyama et al., 2002).

Structural studies of p97 and its homologs have yielded few clues as to how energy from ATP hydrolysis is transformed into mechanical work. p97, like most AAA proteins, forms homohexamers (Confalonieri and Duguet, 1995; Peters et al., 1992; Rockel et al., 1999; Hanson et al., 1997; Fleming et al., 1998). The p97 protomer comprises an N-terminal ~200 amino acid domain, designated N, followed by two AAA domains, D<sub>1</sub> and D<sub>2</sub>. The N domain interacts with a number of adaptor proteins that are believed to direct the chaperone activity of the enzyme into distinct pathways. Crystal structures of full-length p97 show that the AAA domains form two stacked rings, and the N domains project out from the D<sub>1</sub> ring in a nearly coplanar manner (Zhang et al., 2000; Huyton et al., 2003; DeLaBarre and Brunger, 2003). Cryo-electron microscopic (cryo-EM) reconstructions have provided low-resolution images in four nucleotide states: no nucleotide (NN), bound to the slowly hydrolyzable ATP analog AMP-PNP, bound to the transition state analog ADP-AIF<sub>x</sub>, and bound to ADP. While these images show some changes in the D<sub>1</sub> and D<sub>2</sub> rings, the most striking feature is that significant density attributable to the N domain is only seen in the transition state in one study (Rouiller et al., 2002) and in the ATP analog state in another (Beuron et al., 2003). This suggests that the substrate binding N domain is flexibly linked to D<sub>1</sub> in some states and is averaged out in the process of reconstruction.

Here, we present biochemical and small-angle X-ray scattering (SAXS) studies of p97 in four nucleotide states. Models derived from the scattering data reveal significant differences in the position of the N domains, as well as smaller differences in the D<sub>1</sub> and D<sub>2</sub> domains, as the enzyme progresses through the hydrolysis cycle. In contrast to the full-length protein, a fragment lacking the D<sub>2</sub> domain does not undergo significant changes in the position of N, implying that nucleotide hydrolysis by

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D<sub>2</sub> is responsible for conformational changes that link ATPase activity to movement of the N domain.

## Results

### HPLC Analysis of Nucleotide State

To date, three crystal structures of p97 have been reported, one of the ND<sub>1</sub> fragment and two of the full-length molecule. Regardless of the nucleotide used in crystallization, these structures consistently show ADP bound to D<sub>1</sub>, thus leading to the hypothesis that D<sub>1</sub> does not turn over nucleotide (DeLaBarre and Brunger, 2003). On the other hand, biochemical studies have suggested that this domain is active. To assess the nucleotide state in solution near physiological pH and ionic strength, nucleotides were exchanged into ND<sub>1</sub> and full-length p97. The protein was then denatured with urea, and the released nucleotide was analyzed by HPLC. Purified full-length p97 that had been treated with apyrase was found to have no nucleotide bound (Figure 1A). Incubation of purified p97 with excess ADP showed a single peak with the same retention time as an ADP standard. In contrast, protein that had been incubated with the slowly hydrolyzable ATP analog AMP-PNP showed two peaks, corresponding to AMP-PNP and to ADP (Figure 1A); the areas under the AMP-PNP and ADP peaks are about equal, and the total area is approximately equal to that of the single peak in the ADP-treated sample (Figure 1B). Protein that was dialyzed against nucleotide-free buffer ("No Nucleotide") showed a single peak corresponding to ADP, but with only half the integrated area of the ADP-treated sample. These results are consistent with prior structural observations that, in full-length p97, ADP is always bound to D<sub>1</sub> and does not exchange, whereas D<sub>2</sub> actively turns over ATP.

In order to assess whether D<sub>2</sub> inhibits ATP turnover by D<sub>1</sub> in full-length p97, the identity of nucleotides bound to the ND<sub>1</sub> fragment was determined. Samples showed nearly complete exchange with the nucleotide present in solution (Figure 1B). Next, the ATPase activities of wild-type and hydrolysis-impaired ND<sub>1</sub> mutants E305Q and K251A (Wang et al., 2003a) were compared by HPLC analysis. Wild-type and mutant ND<sub>1</sub> proteins were incubated with excess ATP. Magnesium was then added to start the hydrolysis reaction, and aliquots were removed and denatured at 10 s intervals for analysis of bound nucleotide by HPLC. At each time point, two peaks, one corresponding to ATP and the other to ADP, were apparent (data not shown). The ATP peak predominates at early time points, but over 100 s, the ATP peak shrinks and the ADP peak grows, indicating active hydrolysis by the wild-type protein (Figure 1C). In contrast, no activity is detected in the K251A mutant, ruling out the possibility that a contaminating ATPase is producing ADP (Figure 1C). The E305Q mutant displays some activity, but it is approximately half that of the wild-type protein. These data show that the D<sub>1</sub> ATPase is competent for hydrolysis at physiological temperatures, at least in the absence of D<sub>2</sub>. Song et al. (2003) and Meyer et al. (1998) reported  $V_{\max}$  values for hydrolysis by full-length p97 at 37°C of 0.52 nmol min<sup>-1</sup> μg<sup>-1</sup> and 0.3 nmol min<sup>-1</sup> μg<sup>-1</sup>, respectively, and Song et al. (2003) reported an approximate 10-fold decrease in rate

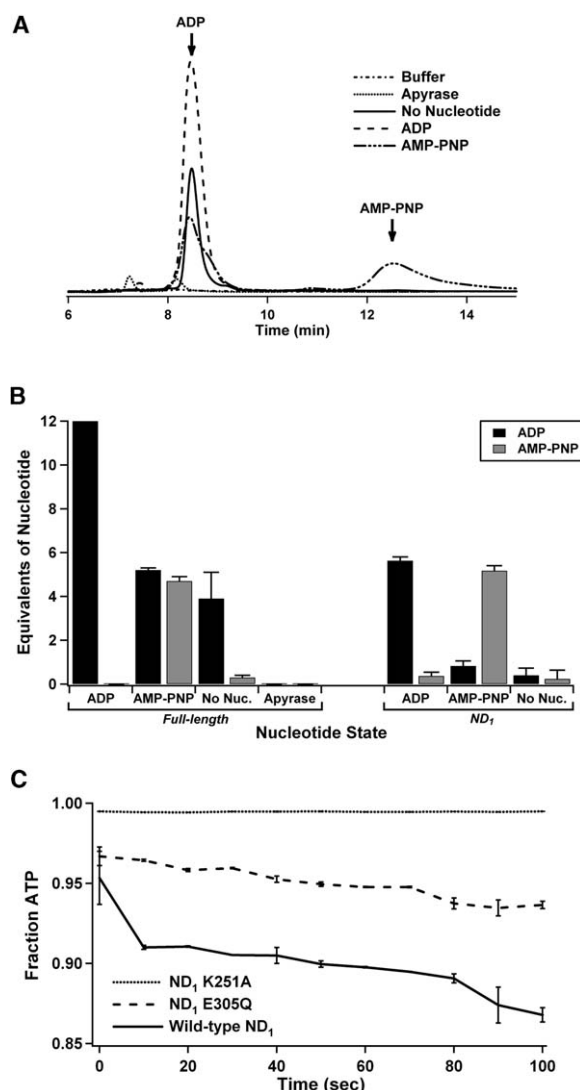


Figure 1. Nucleotide Analyses of Full-Length p97 and ND<sub>1</sub>

(A) Representative traces from HPLC analysis of nucleotides bound to full-length p97. Elution times of nucleotide standards ADP and AMP-PNP are indicated by arrows.

(B) Nucleotide analysis of full-length p97 by HPLC. Areas under peaks corresponding to nucleotide standards (black or gray) were normalized across nucleotide state and averaged with runs of like nucleotide state. Labels on the x axis refer to the identity of the exchanged nucleotide. Although efforts were made to standardize protein loading, substoichiometric quantities of nucleotides are likely due to differences in the amount of protein loaded due to the numerous washing steps.

(C) HPLC analysis of hydrolytic activity of wild-type p97 ND<sub>1</sub> and K251A and E305Q mutants at 37°C over the course of 100 s. Proportions of nucleotides present in solution are represented as the fraction of total nucleotide that is in the form of ATP.

for ND<sub>1</sub> (Meyer et al., 1998; Song et al., 2003). Calculation of the rate from a rough linear fit to the present data gives a value on the order of 20-fold lower than the previously reported values for  $V_{\max}$  for ND<sub>1</sub>.

### Small-Angle Scattering Data

Conformational changes through the course of nucleotide hydrolysis were analyzed in solution by SAXS. Full-

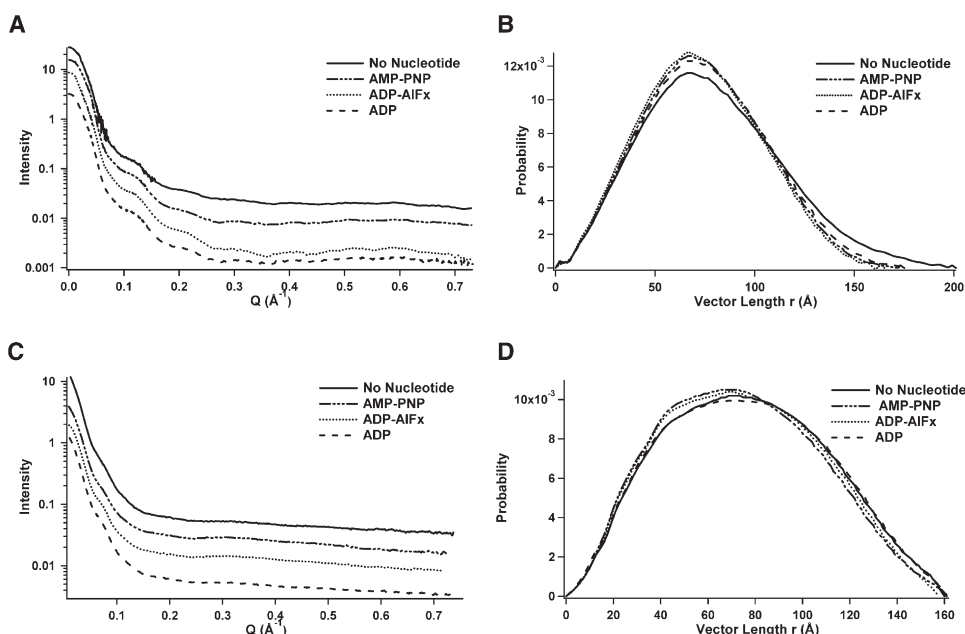


Figure 2. Experimental SAXS Data from Full-Length p97 and ND<sub>1</sub>

(A and C) Scaled and spliced X-ray solution scattering data of full-length p97 and ND<sub>1</sub>, respectively. Data have been offset along the vertical axis to facilitate visualization.

(B and D) Pair-distance distribution functions as computed from scattering data of full-length p97 and ND<sub>1</sub>, respectively.

length p97 was prepared in four states: No Nucleotide, AMP-PNP bound, ADP-AIF<sub>x</sub> bound, and ADP bound, where the names refer to the state of D<sub>2</sub>. Data were measured to a nominal resolution limit of 8.6 Å. Typical scattering profiles of each state are shown in Figure 2A. The low-angle regime is very similar in each state, whereas more divergence is seen starting at about  $Q = 0.145 \text{ Å}^{-1}$ . A summary of the radius of gyration,  $R_g$ , and the maximum interatomic vector length,  $D_{max}$ , in each nucleotide state is presented in Table 1. These parameters indicate that in the absence of nucleotide, the complex is in a relatively expanded conformation, exhibiting the largest  $R_g$  and  $D_{max}$  of the four states. The molecule undergoes significant compaction upon binding of nucleotide, with a 4 Å decrease in  $R_g$  and a 26 Å diminution of  $D_{max}$ . This is consistent with the 10 Å diminution in the radii of the D<sub>1</sub> and D<sub>2</sub> rings of p97 ob-

served in cryo-EM reconstructions (Rouiller et al., 2002), as well as large changes in tryptophan fluorescence and loss of protease sensitivity upon binding (Wang et al., 2003b). Hydrolysis of the nucleotide to the ADP-P<sub>i</sub> state leads to further compaction, and release of P<sub>i</sub> to generate the ADP bound state induces a small expansion.

The availability of a crystal structure for full-length p97 bound to ADP-AIF<sub>x</sub> (DeLaBarre and Brunger, 2003) allows direct comparison with the SAXS data of this state. The longest interatomic vectors are those that span the molecule from one N domain to another across the hexamer, and from an N domain diagonally across the molecule to the bottom of the D<sub>2</sub> domain on the opposite side. The  $R_g$  and  $D_{max}$  computed from the crystal structure (PDB ID 1OZ4) are 54.4 Å and 166 Å, respectively. The crystal structure is missing a number

Table 1. X-Ray Scattering Data Statistics

Nucleotide State	Full-Length		ND <sub>1</sub> Mutant	
	$R_g$	$D_{max}$	$R_g$	$D_{max}$
No Nucleotide	$60.6 \pm 0.4$	$201 \pm 5$	$58.9 \pm 0.5$	$160 \pm 5$
AMP-PNP	$56.5 \pm 0.2$	$175 \pm 5$	$56.8 \pm 0.3$	$162 \pm 5$
ADP + AIF <sub>x</sub> <sup>a</sup>	$55.4 \pm 0.4$	$165 \pm 5$	$57.3 \pm 0.3$	$158 \pm 5$
ADP <sup>b</sup>	$57.7 \pm 0.2$	$176 \pm 5$	$59.0 \pm 0.4$	$161 \pm 5$

All values are in Å.  $R_g$  values were computed as described in the Experimental Procedures section. The estimated error in  $R_g$  for a given value of  $D_{max}$  is about  $\pm 0.1$  Å. Because these two parameters are coupled, the error values for  $R_g$  that are shown were obtained by computing  $R_g$  over the indicated range of  $D_{max}$ .  $R_g$  values obtained from the Guinier approximation, which are independent of  $D_{max}$ , were very similar (at most 0.2 Å from the indicated value).

<sup>a</sup> $R_g$  and  $D_{max}$  from the full-length hexameric crystal structure (PDB ID 1OZ4) are 54.4 and 166 Å, respectively. The N-terminal 26 residues of D<sub>1</sub>, residues 586–597, 634–637, 705–731, and the C-terminal 42 residues of D<sub>2</sub> are absent in the structure.

<sup>b</sup> $R_g$  and  $D_{max}$  from the hexameric ND<sub>1</sub> fragment crystal structure (PDB ID 1E32) are 54.0 and 166 Å, respectively. The N-terminal 20 residues of N and the C-terminal 2 residues of D<sub>1</sub> are absent in the structure.

of residues, mostly at the C terminus, that could make the computed values of  $R_g$  and  $D_{max}$  differ from those obtained by SAXS. However, since the  $D_{max}$  corresponds to the vector from one N domain across the ring to the opposite, it is highly probable that the missing residues would only affect the computed  $R_g$ , and not the  $D_{max}$ . Thus, the computed values compare quite favorably to those found for the ADP-AIF<sub>x</sub> scattering data.

The real space interatomic distance vector distribution, or  $P(r)$ , was obtained by indirect Fourier transformation of the scattering data by using the program GNOM (Svergun, 1992) (Figure 2B). The  $P(r)$  function for all of the states shows a relatively constant shape up to about 80 Å, above which the curves diverge. This suggests that a significant portion of the structure remains invariant over the course of hydrolysis, most likely the structure of the subdomains. Given that the majority of the divergence is seen in the longer vectors, it is likely that those portions of p97 located around the periphery are most affected by changes in nucleotide state. Comparing the  $P(r)$  data with the crystal structures, it seems reasonable to expect that the D<sub>1</sub> and D<sub>2</sub> rings remain relatively constant, whereas the N domains, along with the peripheral portions of the D<sub>2</sub> domains, undergo the most dramatic rearrangements.

SAXS data were similarly collected and processed for ND<sub>1</sub> in the four nucleotide states (Figures 2C and 2D). There are significant variations in  $R_g$  (Table 1). However,  $D_{max}$  does not differ significantly among the different states and is within error limits of the value calculated from the crystal structure (PDB ID 1E32) (Zhang et al., 2000). The  $R_g$  and  $D_{max}$  computed from crystal structure are 54.0 Å and 166 Å, respectively. There are 22 residues missing from this structure, but it is unlikely that this limited number alone accounts for the large difference in  $R_g$ .

### Modeling of Full-Length Scattering Data

The program GASBOR, which uses a simulated annealing procedure to match the scattering computed from a model consisting of one scatterer per amino acid to the experimental data (Svergun et al., 2001), was used to model the SAXS data. A number of independent runs were performed for each nucleotide state. All runs used data to 8.6 Å, and the 6-fold symmetry observed in crystal structures and cryo-EM analyses of p97 was imposed in the modeling. The models were aligned, averaged, and filtered based on occupancy by using DAMAVER (Volkov and Svergun, 2003) to obtain a “most probable” model for each state (Figure 3).

The problem of model generation from SAXS data is fundamentally underdetermined (Volkov and Svergun, 2003), so although the models closely fit the scattering data (e.g., ADP-AIF<sub>x</sub> in Figure 4A), it is essential to assess their validity by independent means. The SAXS models can be compared with crystal structures of two of the states. Comparison of the crystal structure of p97 bound to ADP-AIF<sub>x</sub> (DeLaBarre and Brunger, 2003) with the corresponding SAXS model reveals a striking concordance (Figure 4B). The N domains are coplanar with the D<sub>1</sub> ring, the periphery of the D<sub>2</sub> ring flares at the base, and the cavity at the center of the molecule

is reproduced in shape and size. A crystal structure of the No Nucleotide state (Huyton et al., 2003) is similar to the corresponding SAXS map in the ND<sub>1</sub> region and in reproducing the internal cavity, but there is a clockwise rotation of nearly 20° in the D<sub>2</sub> ring (Figure 4C). These crystals were grown in high salt, acid pH conditions, which inhibit the activity of the enzyme (Song et al., 2003). The closer agreement of the SAXS ADP-AIF<sub>x</sub> state with the corresponding crystal structure likely reflects the closer match of buffer conditions, since those crystals were grown in a neutral pH, low-ionic strength buffer.

Although a full atomic model of only one of the nucleotide states is available for comparison (the No Nucleotide crystal structure lacks side chains in the D<sub>2</sub> domain [Huyton et al., 2003]), it must be emphasized that the biochemical preparation, data collection, and computational analysis of all four states in this study were performed identically except for the identity of the particular nucleotide added. Given the excellent agreement between the SAXS ADP-AIF<sub>x</sub> model and the crystal structure, it is reasonable to assume that the models of other nucleotide states are equally reliable and can be compared with confidence. The extraordinarily close fit of the ADP-AIF<sub>x</sub> model to the crystal structure allows the individual domains of p97 to be assigned to the SAXS-derived electron density of this state, and by extension based on visual homology, to the other nucleotide states (Figures 3 and 4B). For example, inspection of the AMP-PNP state indicates significant movement of the N and D<sub>2</sub> domains relative to the other states. Flexible docking of the full-length crystal structure into the AMP-PNP model by energy minimization of the individual domains (Wriggers and Birmanns, 2001) shows that such a conformation is plausible (Figure 4D). The docking procedure reveals that the main changes associated with nucleotide hydrolysis are a 20° upward rotation of the N domain about the N-D<sub>1</sub> linker arm accompanied by a 10° counterclockwise rotation of the D<sub>2</sub> domain and a 10 Å outward translation.

The level of heterogeneity amongst the individual GASBOR models for each state is apparent when the most probable electron density map is compared to the total volume enclosed by the superposition of the individual runs (Figure 5). The close congruence of the individual annealing runs in the ADP and ADP-AIF<sub>x</sub> states indicates relative homogeneity. A larger volume surrounds the most probable model in the No Nucleotide and AMP-PNP states, although only 2 of the 11 runs constitute the majority of the extra volume in the AMP-PNP state. Thus, the average domain positions are well established in this state. Underdetermination of the modeling procedure seems an unlikely source of heterogeneity, as all data and models were obtained under identical experimental and computational conditions.

It is possible that the No Nucleotide and AMP-PNP states do not obey 6-fold symmetry as well as the other states. If so, imposition of symmetry during modeling could produce the observed increase in heterogeneity. The heterogeneity may also reflect an increased flexibility in these states. The large volume enclosing the superposition of runs in the No Nucleotide state, combined with the model-independent  $R_g$  and  $D_{max}$  values being large by comparison to the other states, points to



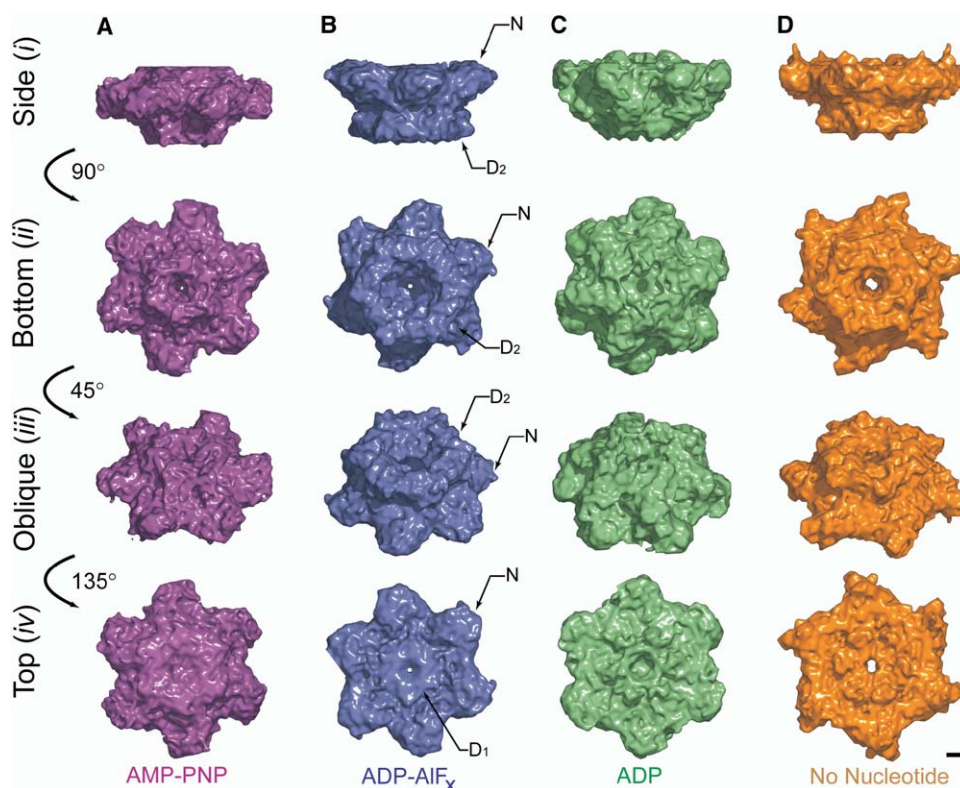


Figure 3. SAXS Models of Full-Length p97

SAXS models of full-length p97 in different nucleotide states: (A) AMP-PNP, (B) ADP-AIF<sub>x</sub>, (C) ADP, and (D) No Nucleotide. Each model is represented as an isosurface and viewed from the (row i) side, (row ii) bottom, (row iii) oblique angle, and (row iv) top. Domains are assigned to density in the ADP-AIF<sub>x</sub> state, as indicated by the tags N, D<sub>1</sub>, and D<sub>2</sub>. Scale bar = 20 Å.

a relatively poorly ordered and extended conformation. This is consistent with the increased proteolytic sensitivity of this state (Wang et al., 2003b). Because GASBOR uses a fixed number of constant density scatterers, the location of the density occupied by a flexible portion of the molecule will vary from run to run.

#### Comparisons of Full-Length p97 in Its Different Nucleotide States

Figure 6 highlights the changes in p97 through its ATP hydrolysis cycle. As expected from the  $P(r)$  plots, there is a core of each model that remains relatively fixed, consisting primarily of the D<sub>1</sub> and D<sub>2</sub> rings. In the No Nucleotide state, p97 is relatively flat, with the N domains coplanar with the D<sub>1</sub> ring and the base of the D<sub>2</sub> ring flared. The pores of the D<sub>1</sub> and D<sub>2</sub> rings are open, with the pore diameter of D<sub>2</sub> approaching three times that of D<sub>1</sub>. As ATP binds, the N domains shift below the plane of the D<sub>1</sub> ring. The D<sub>2</sub> ring rotates approximately 20° clockwise, narrowing its pore by half. As nucleotide is hydrolyzed to the transition state, the N domains once again adopt a coplanar arrangement with respect to D<sub>1</sub>. The D<sub>2</sub> pore widens to yield a D<sub>1</sub>:D<sub>2</sub> pore ratio of about 1:7, and the ring rotates clockwise an additional 10°. When inorganic phosphate is released from the enzyme, D<sub>2</sub> twists back counter-clockwise about 15°, the periphery again constricts, and the D<sub>2</sub> pore closes to

the size of the ATP bound state. In this ADP bound state, smaller yet distinct protrusions reappear at the midline of the molecule. In contrast to the changes in D<sub>2</sub>, the D<sub>1</sub> pore size remains relatively constant throughout the cycle, with only a slight expansion in the No Nucleotide state as compared to the other states.

Comparison of the SAXS maps with cryo-EM reconstructions shows some similarities as well as significant differences. The agreement in the ADP-AIF<sub>x</sub> state is excellent (data not shown). Rouiller et al. (2002) also reported rotations of D<sub>2</sub> relative to D<sub>1</sub>, although the magnitudes are smaller. The increased size of protrusions near the midline between the D<sub>1</sub> and D<sub>2</sub> rings in the ADP bound state seen here, which are likely due to changes in the  $\alpha$ -helical subdomain of D<sub>2</sub> (DeLaBarre and Brunger, 2003), are also found in the EM maps of Rouiller et al. (2002). Reconstructions of the ADP and ATP bound states presented by Zhang et al. (2000) and Beuron et al. (2003) have similarity to the SAXS models in the bottom portion of the D<sub>2</sub> ring, with a shape that curves inward as opposed to flaring out as in the ADP-AIF<sub>x</sub> state. On the other hand, the sizes of the central pores and their relative changes between states seen here differ from those observed in EM, but it must be noted that these features also differ between the two EM studies.

The most pronounced difference between the SAXS and cryo-EM models is in the location of the N domain.

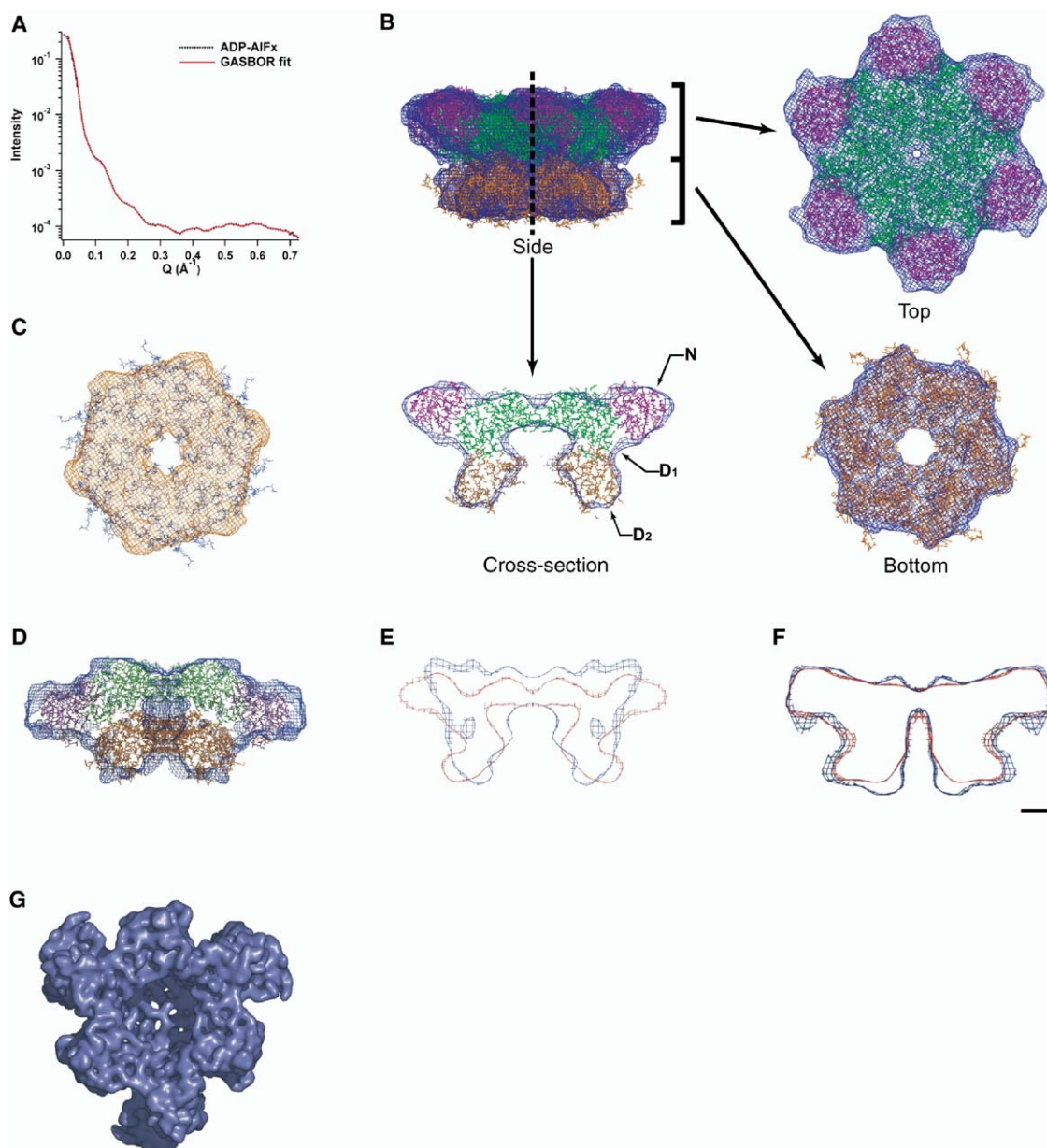


Figure 4. Tests of Model Validity

(A) Comparison of the experimental solution scattering curve (dotted black line) and computed scattering from the ADP-AIF<sub>x</sub> model (solid red line).

(B) Full-length SAXS model in the ADP-AIF<sub>x</sub> state overlaid on the crystal structure in the same state (PDB ID 1OZ4). The SAXS model is shown as a blue mesh, and the individual domains of the crystal structure are color coded as follows: N = magenta, D<sub>1</sub> = green, D<sub>2</sub> = orange. The upper left panel is a side profile. The lower left panel is a thin vertical slice at the horizontal midline. Domain assignments are indicated by labels N, D<sub>1</sub>, and D<sub>2</sub>. The upper and lower right panels are top and bottom views, respectively.

(C) Bottom view of the D<sub>2</sub> portion of the SAXS model in No Nucleotide state overlaid on the crystal structure in the same state (PDB ID 1R7R). The SAXS model is shown as an orange mesh, and the D<sub>2</sub> domain of the crystal structure is shown in blue.

(D) Superposition of the full-length crystal structure fit to the SAXS model in the AMP-PNP state shown as thin vertical slices at the horizontal midline.

(E) Comparison of ADP-AIF<sub>x</sub> models obtained by using data with different resolution ranges shown as thin vertical slices at the horizontal midline. A representative model based on data with maximum resolution of 8.6 Å is shown in red, and a representative model based on the same data truncated to a maximum resolution of 20.9 Å is shown in blue.

(F) Comparison of models obtained either by using a starting model or ab initio methods shown as thin vertical slices at the horizontal midline. A model of the ADP-AIF<sub>x</sub> state consisting of averaged runs obtained by using PDB ID 1OZ4 as the starting structure is shown in red, and an averaged model obtained by using ab initio methods is shown in blue.

(G) Individual GASBOR modeling run of full-length p97 in the presence of ADP-AIF<sub>x</sub> with 3-fold symmetry imposed as viewed from the bottom. Scale bar = 20 Å.

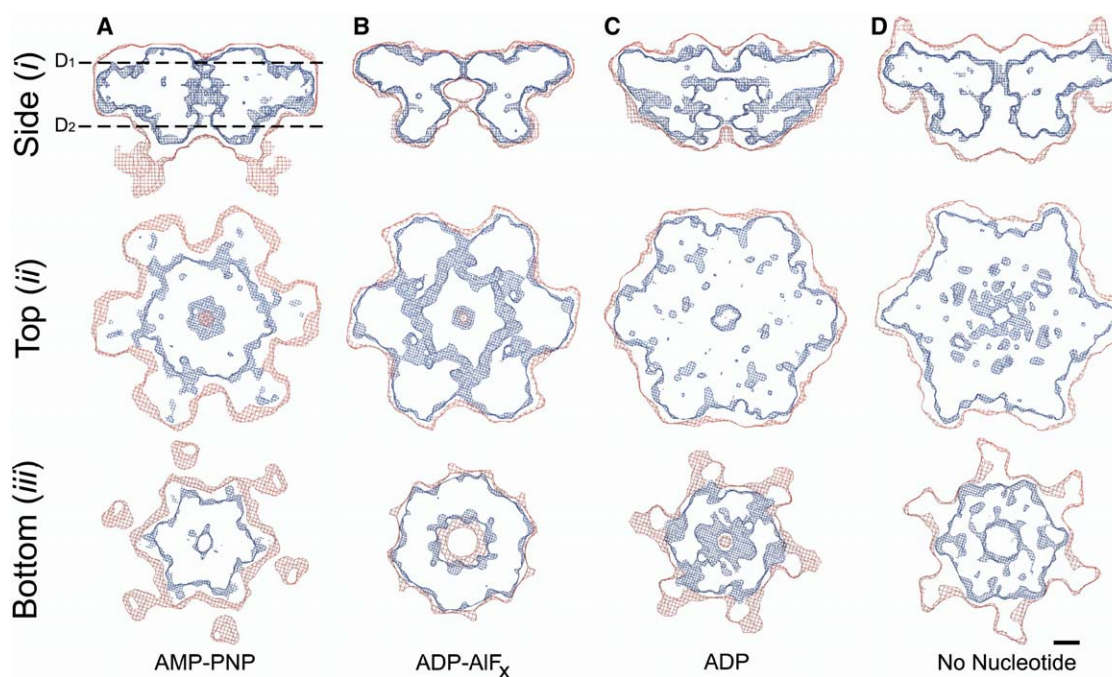


Figure 5. Comparison of Composite and Filtered Models for Full-Length p97

Comparison of composite and filtered models for full-length p97 in four nucleotide states: (A) AMP-PNP, (B) ADP-AIF<sub>x</sub>, (C) ADP, and (D) No Nucleotide. Aligned, superposed, and summed individual GASBOR runs are shown in red (NN,  $n = 7$ ; AMP-PNP,  $n = 11$ ; ADP-AIF<sub>x</sub>,  $n = 5$ ; ADP,  $n = 6$ ), and the final “most probable” model, corresponding to the volume elements of highest occupancy, is shown in blue. Each model is shown as a thin vertical slice at the horizontal midline (row i), as well as horizontal slices at the levels of the (row ii) D<sub>1</sub> and (row iii) D<sub>2</sub> rings, as indicated by the dashed lines in (A), row i. Scale bar = 20 Å.

The SAXS models indicate the location of the N domain in all four nucleotide states. There appears to be some flexibility in the No Nucleotide state, but the N domain was reproducibly found to be coplanar with D<sub>1</sub> in both the ADP-AIF<sub>x</sub> and ADP states, and lies below the D<sub>1</sub> plane in the AMP-PNP state. In the study of Rouiller et al. (2002), the N domain could be assigned with confidence only in the ADP-AIF<sub>x</sub> state. On the other hand, Beuron et al. (2003) located the N domain in the AMP-PNP bound form, and could ascribe weak density for N in the ADP state. In this AMP-PNP EM reconstruction, the N domain density appears to be partly above the plane of the D<sub>1</sub> ring, in sharp contrast to its position in the SAXS model.

As noted above, there are significant differences between the two EM studies, which complicate comparison with the present SAXS results. Nonetheless, a major difference is that the SAXS data extend to a nominal resolution limit of 8.6 Å, whereas the limits of the EM reconstructions are at 18–24 Å. Truncating the SAXS data to 20.9 Å produces models that differ substantially from those based on higher-resolution data (Figure 4E). These models more closely resemble the EM reconstructions, which is likely due to the absence of the higher-resolution structural information.

#### Modeling of ND<sub>1</sub> Scattering Data

Modeling of SAXS data obtained from ND<sub>1</sub> was performed identically as above. Ab initio runs or runs from starting models derived from PDB ID 1E32 (Zhang et al., 2000) yielded similar results for each nucleotide state.

Figure 7 shows views of the “most probable” model for each nucleotide state. Each of the models has the overall shape of a disk, and all but the No Nucleotide state show clear indication of individual N domains as seen in the crystal structure. Electron density attributable to D<sub>1</sub> can be seen to move appreciably, and it is accompanied by changes in the size and shape of the central pore (Figures 8A–8D), observations consistent with ND<sub>1</sub> being competent for hydrolysis. The N domains are below the plane of the D<sub>1</sub> ring in all states, with minor variations in position (Figure 8E). Superposition of the ADP model of ND<sub>1</sub> with the crystal structure of the same state shows good agreement with the central pore and general shape, but there is significant density below the position of N seen in the crystal structure (Figures 8F and 8G). These data suggest that the solution structure is genuinely different from that seen in crystals. Superposition of the AMP-PNP models for both ND<sub>1</sub> and full-length p97 shows the extent of deflection out of the plane formed by D<sub>1</sub> to be similar and clearly below the position of N as seen in the crystal structure (Figure 8H). The high-salt, acid pH conditions under which the crystals were obtained may be responsible for the differences with the SAXS models.

#### Discussion

##### Nucleotide States

The analysis of nucleotides bound to p97 presented here indicates that only half of the nucleotide binding sites can exchange under near-physiological condi-



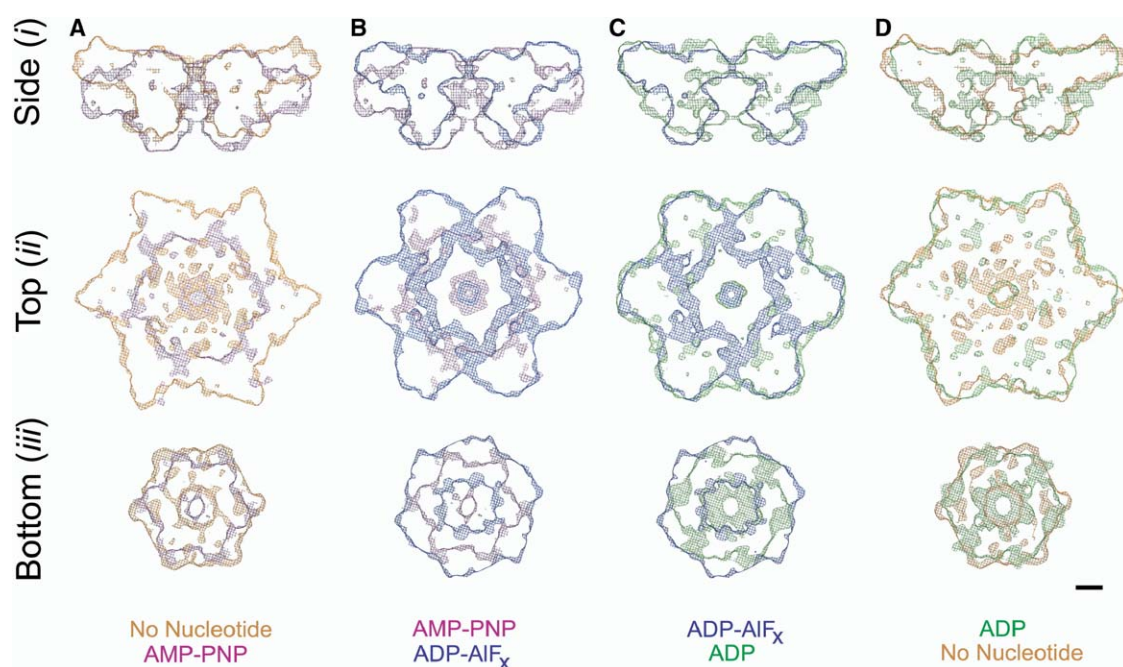


Figure 6. Comparison of Changes Occurring between Nucleotide States in Full-Length p97

Models of all states were rotationally aligned based on a top-down view of ND<sub>1</sub>. The colors of each model correspond to those in Figure 3 and are as follows: AMP-PNP = magenta, ADP-AIF<sub>x</sub> = blue, ADP = green, No Nucleotide = orange. Each model is shown as a thin vertical slice at (row i) the horizontal midline, as well as horizontal slices at the levels of the (row ii) D<sub>1</sub> and (row iii) D<sub>2</sub> rings. Slices of successive states in the cycle are overlaid to highlight changes as nucleotide (A) binds, is (B) hydrolyzed to transition state, (C) inorganic phosphate is released, and (D) spent nucleotide is released. Scale bar = 20 Å.

tions. These results agree with isothermal titration calorimetry data, which show that only one equivalent of ADP binds per protomer of full-length p97 (DeLaBarre and Brunger, 2003). These data do not rule out the possibility of mixed states in each ring, but when considered with crystallographic observations that ADP is always found in D<sub>1</sub> regardless of the presence or absence of other nucleotides in solution (Zhang et al., 2000; Huyton et al., 2003; DeLaBarre and Brunger, 2003), it appears that D<sub>1</sub> does not readily exchange nucleotide in the context of the full-length protein. Another group has reported that full-length p97 purified in the absence of added nucleotide shows no residual nucleotide, and dialysis of this material suffices to exchange ATP into the enzyme (Wang et al., 2003b). The different results obtained in these studies cannot be readily explained, but may be due to differences in the purification and nucleotide analysis protocols.

In contrast to full-length p97, different nucleotides could be exchanged into ND<sub>1</sub>, which was also shown to have ATPase activity. The inability of full-length p97 to exchange nucleotide in D<sub>1</sub> at physiological temperatures suggests that D<sub>2</sub> inhibits the release of ADP bound to D<sub>1</sub>. The linker between D<sub>1</sub> and D<sub>2</sub> passes near the nucleotide binding site of D<sub>1</sub> (DeLaBarre and Brunger, 2003) and might block ADP release. Experiments on full-length p97 mutants suggest that the D<sub>1</sub> ring has significant hydrolytic activity at high temperatures (50°–60°C) (Song et al., 2003). Higher temperatures might allow for increased mobility of portions of

the polypeptide that block the D<sub>1</sub> binding site, thereby enhancing the hydrolytic activity of this domain. Moreover, binding of adaptor proteins can significantly impact overall ATPase activity in p97 and its homolog NSF (Meyer et al., 1998; Matveeva and Whiteheart, 1998), so conformational changes in the D<sub>1</sub>-D<sub>2</sub> linker or elsewhere upon ligand binding may also modulate D<sub>1</sub> activity.

Nucleotide binding by D<sub>1</sub> accelerates, but is not required for, assembly of the p97 hexamer (Wang et al., 2003a). The lack of nucleotide exchange from D<sub>1</sub> suggests that this domain may bind and hydrolyze ATP during the initial assembly of the p97 hexamer, but the resulting ADP is trapped in the D<sub>1</sub> ring. The nucleotide in D<sub>1</sub> may stabilize a particular structure that stimulates D<sub>2</sub>. There are changes in overall ATPase activity produced by nucleotide binding (Walker A) or hydrolysis (Walker B) mutations in D<sub>1</sub>, which suggested that D<sub>1</sub> is active in full-length p97 (Song et al., 2003; Ye et al., 2003). These data, however, can also be interpreted as indicating that mutations in D<sub>1</sub> produce allosteric changes that diminish the activity of D<sub>2</sub>. Indeed, the E305Q hydrolysis mutant of D<sub>1</sub> does not produce significant phenotypic changes in trypanosomes, and produces a phenotype less severe than the equivalent mutation in D<sub>2</sub> in yeast Cdc48p (Ye et al., 2003; Lamb et al., 2001).

Structural analyses of p97 have all been carried out in saturating quantities of nucleotide to ensure sample homogeneity. It is possible that, in vivo, different sub-



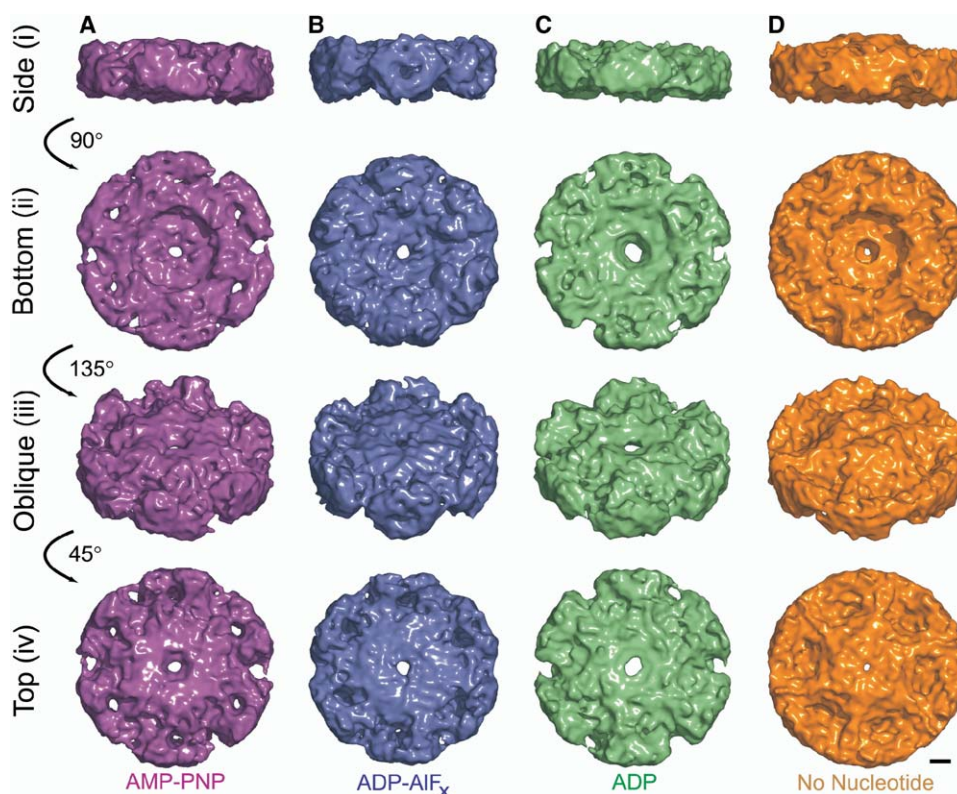


Figure 7. SAXS Models of p97 ND<sub>1</sub>

SAXS models of p97 ND<sub>1</sub> in different nucleotide states: (A) AMP-PNP, (B) ADP-AIF<sub>x</sub>, (C) ADP, and (D) No Nucleotide. Each model is represented as an isosurface and viewed from the (row i) side, (row ii) bottom, (row iii) oblique angle, and (row iv) top. Scale bar = 20 Å.

units within the hexamer are in different nucleotide states at any given time. Although it is not known if homogeneous nucleotide composition is physiologically accurate, the structural data provide important insights into the range of conformations available to the p97 subunits during the ATPase cycle.

#### Models and Mechanisms

How does p97 convert the energy of ATP hydrolysis into work done on substrates? The movement of the N domains is likely to be important in transmitting force from the ATPase to substrate or adaptor proteins. The SAXS reconstructions show that, in the ATP bound state, the N domains lie slightly below the D<sub>1</sub> plane, and move up to a position coplanar with D<sub>1</sub> upon hydrolysis (Figure 9). The release of ADP produces a less compact, more flexible structure. Thus, the major changes in N domain positions occur between the No Nucleotide and ATP states, and between the ATP and ADP-AIF<sub>x</sub> states, implying that work is done upon binding of ATP, hydrolysis to the ADP-P<sub>i</sub> state, or both. In contrast to full-length p97, the N domains of the ND<sub>1</sub> fragment remain below the D<sub>1</sub> plane during its ATPase cycle. The full-length and ND<sub>1</sub> data imply that hydrolysis and release of ATP by D<sub>2</sub> generates the force that moves the N domain, and these data are consistent with the dominant role of D<sub>2</sub> in the activity of p97 (Song et al., 2003). Changes in the central cavity in the vicinity of the D<sub>1</sub>-

D<sub>2</sub> linker are seen among the nucleotide states (Figure 6), consistent with a role for the linker region in the transmission of force to the N domain (DeLaBarre and Brunger, 2003). The changes in conformation between other states in the cycle may act to reset the system for subsequent rounds. It has been suggested that p97 might feed unfolded substrates into the pore (Ye et al., 2003), and in this regard the alternate opening and closing of the D<sub>2</sub> pore, the relative rotations of the ATPase domains, flaring of the base, and changes in the equatorial protrusions observed through the ATPase cycle may also be important in the activity of the enzyme.

In ERAD extraction of misfolded proteins, p97 is recruited to the ER membrane and anchored through VIMP, whereupon p97 can interact with emerging substrates that are subsequently polyubiquitinated and interact via the Udf1-Npl4 complex. In this case, movements of the N domains could produce a force that pulls the substrate from the membrane. Likewise, in a "pore feeding" mechanism, movements of the N domain could help to feed the substrate into the pore.

p97 shares significant homology with N-ethylmaleimide-sensitive factor (NSF), which mediates disassembly of SNARE complexes after membrane fusion (May et al., 2001). Although NSF disassembles these membrane-anchored complexes, it does not extract the polypeptides from the membrane. This functional difference may be reflected in the organization of the two

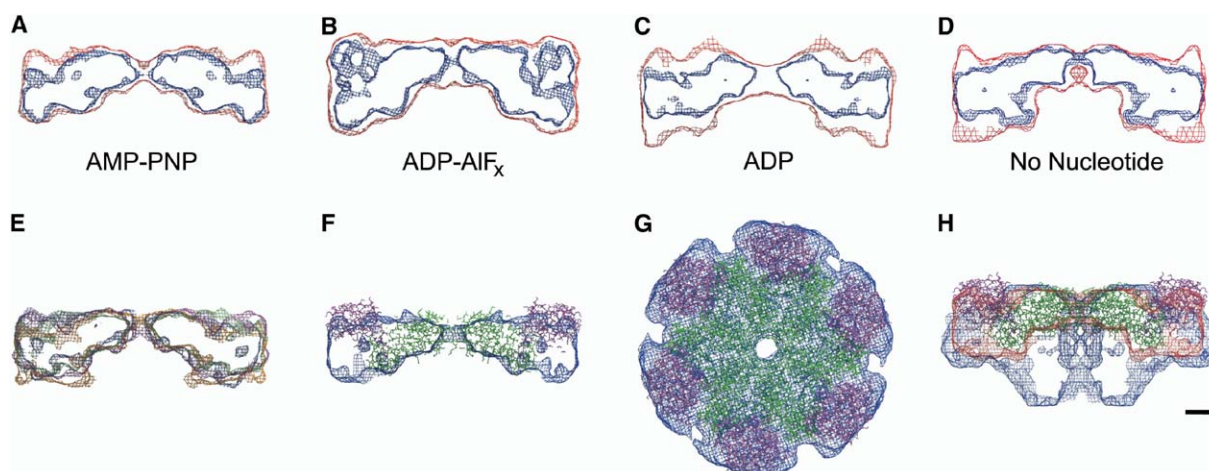


Figure 8. Comparison of Models for p97 ND<sub>1</sub>

(A–D) All models are shown as a thin vertical slice at the horizontal midline. Comparison of composite and filtered models in four nucleotide states: (A) AMP-PNP, (B) ADP-AIF<sub>x</sub>, (C) ADP, and (D) No Nucleotide. Aligned, superposed, and summed individual GASBOR runs are shown in red (NN, *n* = 6; AMP-PNP, *n* = 6; ADP-AIF<sub>x</sub>, *n* = 7; ADP, *n* = 9), and the final “most probable” model, corresponding to the volume elements of highest occupancy, is shown in blue.

(E) Superposition of all hydrolysis states for p97 ND<sub>1</sub>. AMP-PNP = magenta, ADP-AIF<sub>x</sub> = blue, ADP = green, No Nucleotide = orange.

(F and G) Views of a thin vertical slice at the horizontal midline and top, respectively, of the ND<sub>1</sub> SAXS model in the ADP state overlaid on the crystal structure in the same state (PDB ID 1E32). The SAXS model is shown as a blue mesh, and the individual domains of the crystal structure are color coded as follows: N = magenta, D<sub>1</sub> = green. Note that the asymmetric shape of D<sub>1</sub> leads to an unambiguous fit of the crystal structure to the SAXS model.

(H) Superposition of the AMP-PNP state of full-length (blue mesh) and ND<sub>1</sub> (red mesh) with the ND<sub>1</sub> crystal structure (PDB ID 1E32). N = magenta, D<sub>1</sub> = green. Scale bar = 20 Å.

enzymes. In NSF, the D<sub>1</sub> domain is catalytically active, but D<sub>2</sub> has no significant ATPase activity. Therefore, the NSF N domain is linked directly to the ATPase ring responsible for its movements, and this ring presumably undergoes significant conformational changes during

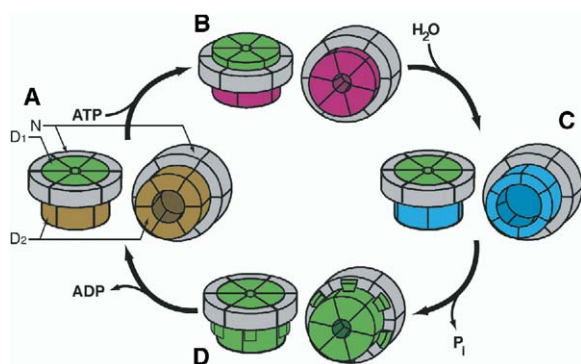


Figure 9. Summary of Major Hydrolysis-Induced Conformational Changes in Full-Length p97

Starting from the (A) No Nucleotide state (shown in oblique side and bottom views), (B) as ATP binds, the N domain shifts below the plane of the D<sub>1</sub> ring, the pore of the D<sub>2</sub> ring narrows by half, and the D<sub>2</sub> ring rotates about 20° clockwise. (C) As nucleotide is hydrolyzed to the transition state, the N domains return to a coplanar arrangement with respect to D<sub>1</sub>, the D<sub>2</sub> ring pore widens, and the D<sub>2</sub> ring rotates clockwise an additional 10°. (D) As inorganic phosphate is released from the enzyme, the D<sub>2</sub> ring twists back counter-clockwise about 15°, the D<sub>2</sub> pore constricts to the size of the ATP bound state, and protrusions appear at the midline.

its ATPase cycle akin to those seen in p97 D<sub>2</sub>. In p97, on the other hand, N is attached to a relatively inactive ring and is moved by forces transmitted from D<sub>2</sub> through or around D<sub>1</sub>. The reversed positions of the force-generating ring may reflect different energetic and mechanical requirements for the processes mediated by p97 and NSF. The NSF N domain binds to the SNARE complex through the adaptor  $\alpha$ -SNAP, so movements of N such as those documented here for p97 are probably important in the disassembly process. On the other hand, a “pore feeding” model such as that proposed for p97 seems unlikely for NSF, since the SNAREs remain anchored in the membrane.

The SAXS analysis presented here provides the first view of the p97 N domain position in all four nucleotide states, suggesting how ATP hydrolysis is coupled to conformational changes required for enzyme activity. Powerful modeling algorithms make SAXS a valuable method for examining conformations in solution. Future time-resolved SAXS measurements with rapid mixing devices should allow visualization of conformational changes of p97 in real time.

## Experimental Procedures

### Protein Purification and Nucleotide Exchange

Full-length p97 (residues 2–806) and the ND<sub>1</sub> truncation mutant (residues 1–460) were expressed with an N-terminal His<sub>6</sub> tag in *E. coli* M15 cells containing the pREP4 plasmid (Qiagen). The protein was purified by successive passage over Ni<sup>2+</sup>-NTA agarose (Qiagen) and gel filtration (Amersham Biosciences) columns, ending in buffer containing 25 mM Tris (pH 8.5), 300 mM KCl, 5 mM  $\beta$ -mercaptoethanol, 1 mM EDTA, 10% (v/v) glycerol, and 1 mM ATP.

Fractions containing pure p97 were pooled and concentrated by using Vivaspin 20 spin concentrators with a 30,000 Da molecular weight cutoff (Vivascience). The protein was applied to a desalting column (Amersham Biosciences) equilibrated in buffer consisting of 25 mM HEPES (pH 7.1), 150 mM sodium acetate, and 2 mM dithiothreitol, and eluted with the same buffer. The eluate was divided among five tubes, and added to each was 2 mM  $\text{MgCl}_2$  plus 2 mM or units of one of following enzymes or nucleotides: apyrase, AMP-PNP, ADP, or ADP-AIF<sub>x</sub>. The ADP-AIF<sub>x</sub> condition was produced by adding 8 mM NaF and 2 mM  $\text{AlK}(\text{SO}_4)_2$  to 2 mM ADP. To the fifth tube, neither nucleotide nor enzyme was added. The protein was concentrated to approximately 20 g/l as estimated by using a dye binding Protein Assay (BioRad). "No Nucleotide" refers to protein to which nothing was added.

#### HPLC Nucleotide Analysis

In order to identify nucleotides bound to full-length and truncated p97, after exchange, the protein solution was incubated on ice for 30 min and rapidly washed by serial centrifugal filtration steps in a Vivaspin 50,000 Da molecular weight cutoff concentrator (Vivascience), followed by reconstitution with nucleotide-free exchange buffer. This procedure was repeated 2–3 times over a period of 5 min. 400  $\mu\text{g}$  of each sample was denatured in 6 M urea to release bound nucleotide. These solutions, as well as standard solutions of magnesium and nucleotide in 6 M urea, were analyzed on an analytical C18 column with pre-filter (Vydac) on a Hewlett-Packard Ti-Series 1050 HPLC instrument. Nucleotides were eluted isocratically at 1 ml/min in 5 mM tetrabutyl ammonium hydrogen sulfate, 20 mM ammonium phosphate (pH 5.5), and 20% acetonitrile. Elution times and integrated peak areas were determined with the ChemStation software package (Hewlett-Packard).

To assay the ATPase activity of ND<sub>1</sub>, the wild-type protein, two hydrolysis mutants, E305Q and K251A, and a buffer blank were nucleotide exchanged as described above and incubated for 1 min on ice with 0.5 mM ATP in the absence of magnesium. The solutions were warmed to 37°C, and 1 mM magnesium chloride was added. Over 100 s, aliquots were removed from each reaction at 10 s intervals, denatured in 6 M urea, and stored on ice pending analysis. Hydrolysis activity was assessed by comparing the ratio of the area under the ATP peak to that of the ADP peak over time after background hydrolysis was taken into account.

#### Scattering Data Acquisition

Initial SAXS measurements were conducted on beamline 4-2 (Tsutsumi et al., 1998) at the Stanford Synchrotron Radiation Laboratory (SSRL), and at beamline 15A (Amemiya et al., 1983) of the Photon Factory (PF). The data reported in this work were measured at the BESSRC-CAT beamline (12-IDC) (Seifert et al., 2000) at the Advanced Photon Source (APS). To avoid aggregation effects in the Guinier region, samples at low concentration (0.5–4 g/l) were used in conjunction with long detector distances (2–2.8 min, corresponding to an angular range of  $0.00407 \text{ \AA}^{-1} \leq Q \leq 0.18355 \text{ \AA}^{-1}$ ). To improve signal-to-noise at high angles, higher concentrations (10–20 g/l) were used together with short detector distances (0.5–1 min, corresponding to an angular range of  $0.02011 \text{ \AA}^{-1} \leq Q \leq 0.7308 \text{ \AA}^{-1}$ ). Data were measured by using a 15 cm  $\times$  15 cm nine-element-tiled CCD mosaic detector. The detector channel numbers were converted to momentum transfer  $Q = 4\pi \sin(\theta)/\lambda$ , where  $2\theta$  is the scattering angle and  $\lambda$  is the wavelength (1.30 Å), by using the (100) reflection from a cholesterol myristate powder sample as a standard. The protein and matching buffer solutions were exposed for 5 s, subdivided into five 1 s exposures, in a 1.5 mm quartz capillary flow cell maintained at 15°C. Individual scattering curves were visually inspected prior to averaging to insure that radiation damage was minimal. Scattering from the buffer and cell windows was subtracted after scaling scattering intensities to corresponding incident beam intensities.

#### Scattering Data Analysis

The raw scattering data were scaled, and buffers were subtracted by using software written by S. Seifert in Igor Pro (Wavemetrics, Inc.). Individual scattering curves for a given state that were collected at different concentrations and over different scattering an-

gle ranges were scaled and merged together in GNOM (Svergun, 1992) to yield a low-noise composite curve covering a wide angular range. The radii of gyration ( $R_g$ ) were initially computed from the Guinier plot (Guinier and Fournet, 1955). The pair distance distribution function,  $P(r)$ , was calculated by using the indirect Fourier transform method of Svergun as implemented in GNOM, and values of the  $R_g$  were computed from the second moment of the  $P(r)$  function. These values for  $R_g$  compared favorably to the initial values derived from Guinier plots. In order to determine the maximum dimension of the particle ( $D_{max}$ ), the  $P(r)$  function was computed while constraining the function to go to zero at  $r_{max}$ , where  $r_{max}$  was varied from 120 to 210 Å in 1 Å increments. The value of  $r_{max}$  that yielded the highest "total estimate" value in combination with a plausible  $P(r)$  function was taken as the  $D_{max}$ .

#### Modeling of Scattering Data

The program GASBOR (Svergun et al., 2001) was modified by D. Svergun to allow for modeling of molecules in excess of 330 kDa. Each modeling run took 700 hr of CPU time for full-length p97 and 500 hr for ND<sub>1</sub> on a 2.8 GHz Pentium 4 processor. A low-resolution envelope for each state was obtained by superposing individual runs with the program SUPCOMB, which performs an initial alignment of structures using their axes of inertia, followed by minimization of their normalized spatial discrepancy (NSD) (Kozin and Svergun, 2001). The criterion for inclusion in averaging procedures was  $\text{NSD} < \text{mean NSD} + 2 \times \text{variation}$ . The included aligned structures were averaged by using DAMAVER, giving the equivalent of an occupancy value for each voxel. The models were then filtered based on voxel occupancy with DAMFIL, with the mean volume of the constituent runs set as the cutoff.

GASBOR modeling can be performed either ab initio or from input coordinates. To assess if starting from coordinates biases the resulting GASBOR models, several runs were performed either without a starting model or with the C $_{\alpha}$  positions from PDB ID 1OZ4. The superposed models agree well both visually (Figure 4F) and computationally, with ab initio models and those starting from coordinates yielding  $1.557 \pm 0.141$  and  $1.550 \pm 0.114$  (NSD  $\pm$  SD), respectively. This demonstrates that there is no significant bias introduced by the use of starting models, so the final models presented here are averages of both types of simulated annealing protocol.

The effect of symmetry imposition was tested by generating models with 3- or 6-fold symmetry imposed to see if imposition of lower symmetry reproduced the higher-symmetry model. A representative 3-fold model is shown in Figure 4G, which shows rough similarity to the 6-fold models (compare to Figure 3B, row ii). If no symmetry was imposed, the various GASBOR runs showed wide variations in the resulting models (data not shown). These observations suggest that the scattering data do in fact contain 6-fold symmetric information, but also indicate the necessity of imposing the 6-fold symmetry constraint to obtain reliable models.

Flexible docking of the full-length crystal structure (PDB ID 1OZ4) to the AMP-PNP model was performed with SITUS (Wriggers and Birmanns, 2001; Wriggers and Chacon, 2001) by using the monomer, followed by generation of the hexamer by symmetry. For comparison of crystallographic data with models obtained from GASBOR,  $R_g$  values and scattering curves were calculated from the crystallographic coordinates by using the program CRYSOLOG (Svergun et al., 1995). The molecular graphics program Pymol (DeLano, 2002) was used to prepare figures. Angles of domain rotation were measured by fitting the crystal coordinates to the ADP-AIF<sub>x</sub> model. The other nucleotide states were aligned to the ND<sub>1</sub> domains, and the D<sub>2</sub> coordinates were rotated to obtain the best visual fit to the map. Changes in pore diameter were measured in the planes shown in Figure 6, rows ii and iii, by using Adobe Illustrator (Adobe Systems Incorporated).

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